



COPY OF PAPERS  
ORIGINALLY FILED

*KMP*

PATENT  
Attorney Docket No. SRI-013  
(7565/17)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Patton et al.  
SERIAL NO.: 10/022,805 GROUP NO.: 2152  
FILING DATE: November 16, 2001 EXAMINER: Not yet assigned.  
TITLE: Combining Specialized, Spatially Distinguished, Point To Point  
Communications With Other Wireless Networking  
Communications To Provide Networking Configuration In  
Classroom-Like Settings

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on this 24 day of March, 2002.

*Kerri M. Guglietta*  
Kerri M. Guglietta

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are:

- (1) Transmittal Form (1 page);
- (2) Fee Transmittal (1 page);
- (3) Check in the amount of \$444.00;
- (4) Response to Notice to File Missing Parts (1 page);
- (5) Copy of executed Declaration and Power of Attorney (3 pages);
- (6) Formal Drawings (20 sheets);
- (7) Copy of Notice to File Missing Parts of Application (2 pages); and
- (8) a mailroom postcard.



COPY OF PAPERS  
ORIGINALLY FILED

# TRANSMITTAL FORM

Application Serial Number	10/022,805
Filing Date	November 16, 2001
First Named Inventor	Patton
Group Art Unit	2152
Examiner Name	Not yet assigned.
Attorney Docket No.	SRI-013

## ENCLOSURES (check all that apply)

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form   | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><input checked="" type="checkbox"/> Formal Drawings (20 sheets) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> To Convert to a Provisional Application              | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Extension of Time Request  | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)   | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Information Disclosure Statement   | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Return Receipt Postcard                                |
| <input type="checkbox"/> Form PTO-1449  | <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application   | <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 |
| <input type="checkbox"/> Copies of IDS Citations  | <input type="checkbox"/> Executed Small Entity Statement  | <input type="checkbox"/> Additional Enclosure (please identify below)                      |
| <input type="checkbox"/> Certified Copy of Priority Document(s)   | <input type="checkbox"/> Request for Refund   |  |
| <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application  | <input type="checkbox"/> After Allowance Communication to Group   |  |

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
  
Date: March 8, 2002  
Reg. No.: 41,274  
Tel. No.: (617) 248-7501  
Fax No.: (617) 248-7100  
  
Michael A. Rodriguez  
Michael A. Rodriguez  
Attorney/Agent for the Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

# FEE TRANSMITTAL

FY 2002

MAR 18 2002

Complete if Known

Application Serial Number 10/022,805  
Filing Date November 16, 2001  
First Named Inventor Patton  
Group Art Unit 2152  
Examiner Name Not Yet Assigned  
Attorney Docket No. SRI-013

COPY OF PAPERS  
ORIGINALLY FILED

## METHOD OF PAYMENT

1. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
3. ☒ Applicant claims small entity status.

## FEE CALCULATION

### 1. FILING FEE

#### Large Entity

Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	740
330	Design filing fee	
160	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
--------------	--------------	------	--------

Total Claims 21 - 20 = 1 x \$ 18.00 = 18

Independent Claims - 3 = x \$ 84.00 =

☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL: 758  
SMALL ENTITY DISCOUNT: 379  
SUBTOTAL (1) (\$) 379

### 2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
-------------------------------	---------------------------------	---------------	------	----------

Total - = x \$ 18.00 =

Indep. - = x \$ 84.00 =

☐ First Presentation of Multiple Dep. Claim + \$280.00 =

TOTAL: (\$)  
SMALL ENTITY DISCOUNT: (\$)  
SUBTOTAL (2) (\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1440	720	Extension for reply within fourth month	
1960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
740	370	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) (\$) 65

SUBTOTAL (1) 379

SUBTOTAL (2)

SUBTOTAL (3) 65

TOTAL (\$) 444.00

## CORRESPONDENCE ADDRESS

Direct all correspondence to:  
Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower-125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Date: March 8, 2002  
Reg. No.: 41,274  
Tel. No.: (617) 248-7501  
Fax No.: (617) 248-7100

Respectfully submitted,  
*Michael A. Rodriguez*  
Michael A. Rodriguez  
Attorney for the Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower-125 High Street  
Boston, MA 02110



## UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 18 2002

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/022,805	11/16/2001	Charles Patton	SRI-013

 021323  
 TESTA, HURWITZ & THIBEAULT, LLP  
 HIGH STREET TOWER  
 125 HIGH STREET  
 BOSTON, MA 02110

 COPY OF PAPERS  
 ORIGINALLY FILED

CONFIRMATION NO. 3867

## FORMALITIES LETTER



\*OC000000007518555\*

Date Mailed: 02/22/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.
  - \$18 for 1 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 888.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

3/20/2002 JAD001 00000008 10022805

 370.00 OP  
 9.00 OP  
 65.00 OP

 1 FC:201  
 2 FC:203  
 3 FC:205

- drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS  
ORIGINALLY FILED

PATENT  
Attorney Docket No. SRI-013  
(7565/17)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Patton et al.  
SERIAL NO.: 10/022,805 GROUP NO.: 2152  
FILING DATE: November 16, 2001 EXAMINER: Not yet assigned  
TITLE: Combining Specialized, Spatially Distinguished, Point To Point  
Communications With Other Wireless Networking Communications To  
Provide Networking Configuration In Classroom-Like Settings

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir:


In response to the Notice to File Missing Parts mailed February 22, 2002, enclosed is the executed Declaration and Power of Attorney, formal drawings and a check in the amount of \$444.00 in payment of the basic filing fee and surcharge for a small entity in compliance with 37 C.F.R. 1.27.

Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

Respectfully submitted,

Date: March 8, 2002  
Reg. No. 41,274

Tel. No. (617) 248-7501  
Fax: (617) 248-7100

  
Michael A. Rodriguez  
Attorney/Agent for Applicants  
Testa, Hurwitz, & Thibeault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110